

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known

Application Number 09/683,167
Filing Date 11-27-01
Applicants ALLEN ROCHE, ET AL.
Group Art Unit 173
Examiner Name F. Lin
Attorney Docket Number 201-0989

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	2
b. H. K.		K-H BUSSE; Arc Spraying Of Corded Wires; Thermal Spraying; June 1989; 19-28	
		STEEPER et al.; A Taguchi Experimental Design Study Of Twin-Wire Electric Arc Sprayed Aluminum Coatings; Proceedings of the International Thermal Spray Conference & Exposition; May 28-June 5 1992; 427-432; Orlando, FL.	
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		CRANE et al.; Relationships Between Process Variables, Structure And Mechanical Properties of Arc Sprayed Steel Coatings; Surface Engineering Conference; 1985; 103-118	
		NEWBERY et al.; The Electric Arc Spray Manufacture of Rapid Production Tooling: A Case Study; Proceedings of the 15 th International Thermal Spray Conference; May 25-29 1998; 1223-1228; Nice, France	
		ZURECKI et al.; Electric Arc Deposition of Carbon Steel Coatings with Improved Mechanical Properties; Journal of Thermal Spray Technology; December 1997; Volume 6(4); 417-421;	
		HARRIS et al.; Influence of Heat Transfer on the Structure and Properties of Arc Sprayed Low Alloy Steels; Surface Engineering conference; 1985; 78-94	
		FUSSELL et al.; A Sprayed Steel Tool for Permanent Mold Casting of Aluminum; SAE Technical Paper Series; April 22-26 1991; 1-7; Dayton, OH.	
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		WEISS et al.; Arc-Sprayed Steel-Faced Tooling; Journal of Thermal Spray Technology; September 1994; Volume 3(3); 275-281	
		SMITH et al.; An Investigation of the Effects of Droplet Impact Angle in Thermal Spray Deposition; Proceedings of the 7 th National Thermal Spray Conference; June 20-24 1994; 603-608; Boston, MA.	
		KOWALSKY et al.; Diagnostic Behavior of the Wire-Arc-Plasma Spray Process; Proceedings of the International Thermal Spray Conference & Exposition; May 28-June 5 1992; 337-342; Orlando, FL.	
		MURAKAMI et al.; Effect of Temperature Rise of Sprayed Deposits of an Fe-2.19wt.%C-0.68wt.%Si Alloy During Thermal Spraying on the Structures and the Mechanical Properties; Materials Science and Engineering; 1994; A174; 85-94	
		PRINZ; Shaping By Deposition; Carnegie Mellon University	
		STEFFENS; Metallurgical Changes In The Arc Spraying Of Steel; British Welding Journal; October 1966; 597-605	
		BREDENDICK-KAMPER et al.; AES Investigation Of Thermally Sprayed Al ₂ O ₃ Coatings On Steel; Fresenius Journal Anal Chem; 1991; 341; 346-348	



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INFORMATION DISCLOSURE
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Sheet 2 of 2

Application Number	09/683,169
Filing Date	11-23-01
Applicants	Roche et al.
Group Art Unit	125
Examiner Name	T. Lin
Attorney Docket Number	201-0989


<input checked="" type="checkbox"/>	CRANE et al.; Relationships Between Process Variables, Structure and Mechanical Properties Of Arc Sprayed Steel Coatings; First International Conference On Surface Engineering; June 25-28 1985; 103-118; Brighton, UK
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<input checked="" type="checkbox"/>	STEFFANS et al.; The Sonarc Process: Combining The Advantages Of Arc And HVOF Spraying; Journal Of Thermal Spray Technology; December 1994; 398-403; Volume 3(4)
<input checked="" type="checkbox"/>	WEISS et al.; Rapid Prototyping Of Tools; Carnegie Mellon University; October 1989; 1-23
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<input checked="" type="checkbox"/>	FUSSELL et al.; Controlled Microstructure Of Arc Sprayed Metal Shells; Carnegie Mellon University; May 1991; 1-26
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<input checked="" type="checkbox"/>	GREVING et al.; Effects Of Coating Thickness And Residual Stresses On Bond Strength Of C633-79 Thermal Spray Coating Test Specimens; Proceedings of the 7 th National Thermal Spray Conference; June 20-24 1994; 639-644; Boston, MA
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<input checked="" type="checkbox"/>	NEISER et al.; Use Of A Computer Model To Assist In VPS Parameter Development; Proceedings of the 1993 National Thermal Spray Conference; June 7-11 1993; 61-66; Anaheim, CA
<input checked="" type="checkbox"/>	EINERSON et al.; Intelligent Control Strategies For The Plasma Spray Process; Proceedings of the 1993 National Thermal Spray Conference; June 7-11 1993; 205-211; Anaheim, CA

EXAMINER

DATE CONSIDERED 12/16/03

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)</p> <p>Sheet <u>1 of 2</u></p>	Atty. Docket No. 201-0989DP	Serial No. 09/683,161
	First Named Inventor: Allen ROCHE	
	Filing Date 11/27/2001	Group <u>1705</u> Unassigned

U.S. PATENT DOCUMENTS

[illegible]

EXAMINER	<i>J. H. Kim</i>	DATE CONSIDERED	<i>12/16/03</i>
<p>*Examiner: Initial if referenced considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u>1 of 2</u>	Atty. Docket No. 201-0989DP	Serial No. 09/683,161
	First Named Inventor: Allen ROCHE	
	Filing Date 11/27/2001	Group <u>1215</u> Unassigned

OTHER PRIOR ART – NON-PATENT LITERATURE DOCUMENTS

Examiner Initial	Cite No.	Include name of the author, title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>J.H.D.</i>	C1	Sprayform Tools and Dies Limited (STD), Video Transcript, publication date at least as early as 01 Sept. 2000.
<i>J.H.D.</i>	C2	RADIP TOOLING – Changing the Face of Manufacturing, Compact Disc Digital Data, dated 12 October 2000, trt: 10:50.
<i>J.H.D.</i>	C3	MERLE L. THORPE; and JOSEPH W. MINGE, SPRAY METAL COMPOSITE TOOLING, 26 th Annual National SAMPE (Society For The Advancement Of Material And Process Engineering) Symposium And Exhibition, April 28-30, 1981, Pages 374-382, Figures 1-13 and Table I and II.
<i>J.H.D.</i>	C4	Inventor Allen ROCHE, Co-pending United States Patent Application No. 09/683,159 entitled "Method And Arrangement For Affecting Time, Temperature And Transformation Dependent Stress Relief In Sprayform Techniques" and filed 11/27/2001.

EXAMINER <i>J.H.D.</i>	DATE CONSIDERED <i>12/16/03</i>
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